

SPRING INTO MONITORING!!

The Boston Chapter of the Financial Managers Society has invited ICS Compliance to present a half day program about the importance of a risk-based bank wide monitoring program and how it can enhance a bank's overall risk management profile, as well as share some best practices in the industry.

PROGRAM DESCRIPTION:

Regulatory examiners are paying more attention to the quality and scope of monitoring efforts throughout the bank. You need to have the right tools to satisfy your regulator's expectations for ongoing monitoring. But chances are your bank's approach to monitoring differs depending on who you talk to in each department. Attend this session to learn about best practices and gain tools for financial monitoring, compliance monitoring, BSA monitoring, vendor management monitoring, and other types of monitoring across the bank. The format of this session will include a panel discussion regarding how a good monitoring program can enhance your bank's enterprise risk management system.

DATE:

Tuesday, April 13, 2010

LOCATION:

Crowne Plaza Boston – Natick
1360 Worcester Street (Route 9)
Natick, MA 01760
508-653-8800

REGISTRATION FEES:

FMS members \$75
FMS non-members \$95

AGENDA:

8:15 – 9:00	Registration
9:00 – 9:15	Opening Remarks
9:15 – 10:30	Best Practices in Monitoring <ul style="list-style-type: none"> • Sharon Blanchette, ICS Compliance
10:30 – 10:45	Break
10:45 – 11:45	Panel Discussion with experts representing the following areas: <ul style="list-style-type: none"> • Compliance – Kathy Killian • Finance – Michael Gilles • Internal Audit – Jack Murray, Jr. • Risk Management – George Collins
11:45 – 12:00	Questions & Answers

WHO SHOULD ATTEND: Compliance Officers, Risk Officers, Treasurers, Controllers, Chief Financial Officers, Internal Auditors, Auditing Staff

DIRECTIONS:

From the Massachusetts Turnpike

- Take the Massachusetts Turnpike (I-90) to exit 12, Framingham
- Bear right after toll booth, following signs for Framingham, Route 9 East
- Hotel is located on Route 9 eastbound, just past 'Circuit City'

From Route 495

- Take Route 495 to exit 23A (Route 9 East)
- Follow Route 9 East approximately 8 miles
- Hotel is on right-hand side, 1/4 mile after Loews Cinema

From New York / Connecticut

- Take New England Thruway (Route 95 North) to New Haven
- In New Haven, take Route 91 North to Hartford
- In Hartford, take Route 84 (marked as Route 86 East) to the Massachusetts Turnpike
- Take the Massachusetts Turnpike (I-90) to exit 12, Framingham
- Bear right after toll booth, following signs for Framingham, Route 9 East
- Hotel is located on Route 9 eastbound, just past 'Circuit City'

These driving directions are provided as a rough guideline only. Please contact the hotel at 508-653-8800 to verify the location and directions.

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April 13, 2010 ~ Crowne Plaza Boston – Natick

Registration 8:15a.m. ~ Program begins 9:00a.m. ~ Adjourns 12:00p.m.

Please print or type:

Institution _____

Billing Address _____

City _____

State _____

Zip _____

Telephone _____

Fax _____

Please register the following individuals:

Name

Title

Email

1. _____

2. _____

3. _____

Registration per person:

FMS Member \$75

Non Member \$95

Amount Enclosed: \$ _____

Cancellation and refund policy: No refunds for cancellations made **after April 1, 2010**. Substitutions are encouraged if you are unable to attend. Please note that if you don't cancel and don't attend, you are still responsible for full payment; however, seminar materials will be sent to you. If you register and cancel within three days of the program and have not yet paid, you will be invoiced for the full registration cost.

Application Deadline: To assist us in planning, applications should be received no later than **April 5, 2010**.

Reservations:

Fax registration form to 617-261-3443

Make your check payable to

Financial Managers Society.

Mail Payment

FMS Boston Chapter

c/o Stephen McHugh, Treasurer

FHLB Boston

111 Huntington Avenue

Boston, MA 02199-7614



FMS Boston Chapter is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Website: www.nasba.org

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FMS BOSTON CHAPTER IS PROUD TO INTRODUCE THE PANELISTS AND SPEAKERS:

Sharon A. Blanchette, CPA, CIA, MBA, Assistant Director at ICS Compliance

Sharon has more than 20 years of progressively responsible experience dealing with all facets of compliance, accounting, and auditing, with expertise in information privacy/security, vendor management programs, DR/BCP, Compliance Management Systems, Social Media, Enterprise Risk Management, BSA, and NDIP. She was formerly Vice President, Internal Audit & Control, inclusive of compliance audit, for a \$1.4 billion FDICIA bank where she was responsible for all aspects of auditing and annual audit risk assessment. She has experience with Sarbanes-Oxley section 404 testing with a large national organization and more than 10 years of experience with technology systems and technology regulatory compliance in the healthcare field and for local CPA firms. Sharon holds an MBA (Finance concentration) from Western New England College and a BA (Economics) from Mount Holyoke College (graduated cum laude). She has completed courses in Asset/Liability Management and Risk Management/ Supervision via the Colorado Graduate School of Banking (2008); and holds a Residential Mortgage Underwriting Series certificate from the Center for Financial Training (2008). Currently, Sharon is a member of the CT Society of CPAs Financial Institutions Committee, the Bank Compliance Association of CT, and the Massachusetts Bank Internal Audit Association.

Pamela C. Buckley, CRCM, Regional Director, New England at ICS Compliance

Pam is responsible for developing and overseeing ICS Compliance's New England practice. She manages a staff of compliance professionals who serve more than 70 clients throughout New England. Pam has more than 20 years of regulatory compliance experience that she accumulated as a former FDIC examiner. Pam served in the role of FDIC Compliance Review Examiner responsible for reviewing and finalizing Compliance Examination Reports and Community Reinvestment Act (CRA) Performance Evaluations for state-chartered nonmember banks located throughout New England, as well as a former FDIC Senior Compliance Examiner responsible for examining large and complex financial institutions for compliance with consumer protection laws and regulations and CRA. She was also in the position of FDIC Safety & Soundness Associate Examiner responsible for analyzing financial institutions' capital ratios, asset quality, management, earnings and liquidity. Pam holds significant experience conducting Compliance Risk Assessments, developing written compliance programs, and providing regulatory compliance training, as well as significant CRA, ECOA, Fair Housing, HMDA and RESPA experience. Pam has been a guest speaker at Massachusetts Bankers Association's Compliance Academy and is a contributing author to MBA Magazine. She is a certified Regulatory Compliance Manager through the Institute of Certified Bankers and a graduate of Babson College, Wellesley, Massachusetts (BS in Finance/Investments).

George Collins, Senior Vice President and Chief Risk Officer at FHLB of Boston

George has served in his current position of Senior Vice President and Chief Risk Officer of the Federal Home Loan Bank of Boston since November 2006. Prior to assuming that position, he had served as Director of Market Risk Management and, prior to that, as Assistant Treasurer. In his role he is responsible for monitoring and analyzing the Bank's risk exposure arising from market, credit and operational factors. Much of George's career has been spent in the risk management field with a focus on risk interrelationships and the management of mortgage assets and retail deposits, product pricing, capital market activity, and market risk analysis and management. George has degrees in Applied Mathematics and Economics from the State University of New York at Stony Brook and earned a CFA degree in 1992.

Michael Gilles, *President of MG Consulting*

Mike is an independent consultant with over 30 years in the banking industry. Currently as the President of MG Consulting, he provides assistance to banks in such areas as Strategic Planning; Capital Management and Planning; Investment Portfolio guidance and reviews; Tax Planning; Merger & Acquisition Analysis and due diligence; Profit Improvement; and Earnings Enhancement Strategies. Prior to his current position he most recently served as Senior Executive Vice President and Chief Operating Officer at Beverly National Bank. Mike has also served as Senior Vice President and Chief Financial Officer at Bay State Federal Savings Bank and Walden Bancorp. He is a graduate of Bentley University and The Stonier School of Banking at The University of Delaware.

Kathy T. Killian, *Operations and Compliance Officer at Randolph National Bank, VT*

Kathy began her banking career as a teller at Casco Bank & Trust in Portland, Maine when it was one of the largest banks in Maine. After 7 years there, she joined the staff of the Woodstock National Bank, which was one of the smallest banks in Vermont. Kathy has spent 20 years in banking from entry level positions to management and has experience on the front-line (teller/customer service), loans (documentation and underwriting), accounting (assistant to CFO), bookkeeping, and deposit operations, and is currently a member of the Management team of Randolph National Bank, holding the position of Operations Officer and Compliance Officer. In addition to Compliance, Kathy is responsible for Deposit and Loan Operations, IT/Network Services, and Facilities/Maintenance. She expects to complete the curriculum and requirements to graduate from the Stonier Graduate School of Banking in June 2010.

Kathy has been employed by the Randolph National Bank since March of 2005 and was hired as part of the succession plan as the bank prepared for the retirement of the Vice President of Operations. She 'suspended' her banking career in the early 1990's to raise her children, and re-entered banking at a management level position after 13 years. Her return was an eye-opening and exciting experience. Much remained the same during her time away; such as opportunities to develop business relationships by serving individuals and businesses in the community, and the many available career directions for bankers. The regulatory environment had certainly changed a great deal and still presents many challenges for a small community bank. Randolph National Bank is 135 years old and operates 8 offices in central Vermont. They currently have 55-60 employees and assets of approximately \$157,000,000.

Jack M. Murray, Jr. CBA CRP, *Senior Vice President – Chief Auditor at Danvers Bancorp, Inc.*

Jack joined Danvers Bancorp, Inc. in January 2002. He has over thirty years of internal audit, loan credit review and enterprise risk management experience in the Financial Services industry. Prior to joining Danvers Bancorp, Inc., Jack served as Senior Vice President – Director of Internal Audit at MetroWest Bank; and as Senior Manager – Financial Services Risk Advisory Practice at KPMG LLP. A graduate of the University of Illinois with a Bachelor of Science degree in accountancy and Masters in Business Administration degree from the University of Hartford, he has earned the designations of both Certified Bank Auditor and Certified Risk Professional from the Bank Administration Institute. Jack has participated in several professional presentations at The Institute of Internal Auditors national and regional conferences, AICPA National Conference on Banking, the Massachusetts Bankers Association and Massachusetts Bank Internal Auditors. He is a member of the Greater Boston Chapter of the Institute of Internal Auditors and the Financial Services Auditors Group.